



ECONOMIC LOAD DISPATCH USING GENETIC ALGORITHM AND PATTERN SEARCH METHODS

M. Anuj Gargeya¹, Sai Praneeth Pabba²

Department of Electrical and Electronics Engineering, GITAM University, Hyderabad, India¹

Department of Electrical and Electronics Engineering, GITAM University, Hyderabad, India²

ABSTRACT: In a practical power system, the power plants are not located at the same distance from the centre of loads and their fuel costs are different. Also, under normal operating conditions, the generation capacity is more than the total load demand and losses. Thus, there are many options for scheduling generation. In an interconnected power system, the objective is to find the real and reactive power scheduling of each power plant in such a way as to minimize the operating cost. This means that the generator's real and reactive powers are allowed to vary within certain limits so as to meet a particular load demand with minimum fuel cost. This is called optimal power flow problem. In this project, ECONOMIC LOAD DISPATCH (ELD) of real power generation is considered. Economic Load Dispatch (ELD) is the scheduling of generators to minimize total operating cost of generator units subjected to equality constraint of power balance within the minimum and maximum operating limits of the generating units. In general by neglecting valve point loading effects, economic load dispatch can be solved either by lambda search or generation search (Pg) methods. In this project, valve point loading effects of the generating units are considered. To solve economic load dispatch, two of intelligent search methods are considered, namely, genetic algorithm and pattern search methods. Equality constraint is satisfied by penalty approach method. Economic load dispatch solved for three typical test cases of 5 generator, 13-generator and 40-generator (Tai-power systems) cases.

Keywords: Economic Load Dispatch, Fuel cost function, Genetic Algorithm, Pattern search method, Tai-power systems.

I. INTRODUCTION

The efficient and optimum economic operation and planning of electric power generation systems have always occupied an important position in the electric power industry. Prior to 1973 and the oil embargo that signalled the rapid escalation in fuel prices, electric utilities in the United States spent about 20% of their total revenues on fuel for the production of electrical energy. By 1980 that figure has risen, to more than 40% of the total revenues. In the 5 years after 1973, US electric utility fuel costs, escalated at a rate that averaged 25% compounded on the annual basis, the efficient use of available fuel is growing in importance, both monetarily and because most of the fuel used represents irreplaceable natural resources. An idea of magnitude of the amounts of money under consideration, can be obtained by considering the annual operating expenses of a large utility for purchasing fuel. Assume the following parameters for the moderately large system.

Annual peak load = 10,000 MW

Annual load factor = 60%

Average annual heat rate for converting fuel to electric energy = 10,000 Btu/kWh

Average fuel cost = \$3.00/million Btu, corresponding to oil priced at 18\$/Bbl

With these assumptions, the total annual fuel cost for the system is as follows

Annual energy produced = $10^7 \text{ MW} \times 8760 \text{ hr/year} \times 0.60 = 5.256 \times 10^{10} \text{ kWh}$

Annual fuel consumption = $10,000 \text{ Btu/kWh} \times 5.256 \times 10^{10} \text{ kWh} = 52.56 \times 10^{13} \text{ Btu}$

Annual fuel cost = $52.56 \times 10^{13} \times 3 \times 10^{-6} \text{ \$/Btu} = \$1.5768 \text{ billion}$

To put this cost in perspective, it represents a direct requirement for revenues for the average customer of the system of 3.15 cents/kWh just to recover the expense for fuel. A savings in the operation of the system of small percent represents



a significant reduction in operating cost, as well as in the quantities of fuel consumed. It is no wonder that this area has warranted a great deal of attention from the engineers through the years.

Periodic changes in basic fuel price levels serve to accentuate the problem and increase its economic significance. Inflation also causes problems in developing and presenting methods, techniques, and examples of economic operation of electric power generating systems. Recent fuel cost always seem to be ancient history and entirely in an appropriate to current conditions.

II. INTRODUCTION OF INTELLIGENCE TECHNIQUES

Rapid growth in power system size and Electrical power demand, problem of reducing the operating cost has gained importance while maintaining voltage security and thermal limits of transmission line branches. A large number of mathematical programming and Artificial Intelligence Technique have been applied to solve (Economic Load Dispatch) ELD. In most general formulation, the ELD is a nonlinear, non-convex, large scale, static optimization problem with both continuous and discrete control variables. Mathematical programming approaches most general formulation, the ELD is a nonlinear, non-convex, large scale, static optimization problem with both continuous and discrete control variables.

III. FUEL COST FUNCTION

The components of the cost that fall under the category of dispatching procedures are the costs of the fuel burnt in the fossil plant because nuclear plants tend to be operated at constant output levels and hydro plants have essentially no variable operating costs. The total cost of operation includes the fuel cost, costs of labour, supplies and maintenance. Generally, costs of labour, supplies and maintenance are fixed percentages of incoming fuel costs. We assume that the variation of fuel cost of each generator (F_i) with the active power output (P_i) is given by a quadratic polynomial.

$$F_i(P_i) = \sum_{i=1}^{NG} (a_i P_i^2 + b_i P_i + c_i) \quad \frac{Rs}{hr} \quad (2.1)$$

Where,

F_i = fuel cost of generator i.

P_i = power output of generator i

a_i = measure of losses in the system.

b_i = represents the fuel cost.

c_i = includes salary and wages, interests and depreciation

And is independent of generation

NG = number of generation buses

Input of thermal plant is generally measured in Btu/hr and the output is measured in MW. A simplified input output curve of the thermal unit known as heat rate curve is given in following fig.2.1 (a).

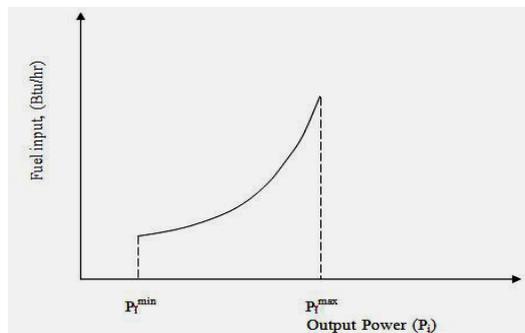


Figure. 2.1(a) Heat- rate curve of a fossil fired generator

Converting the ordinate of heat rate curve from Btu/hr to Rs/hr, results in the operating cost curve shown in fig. 2.1(b).

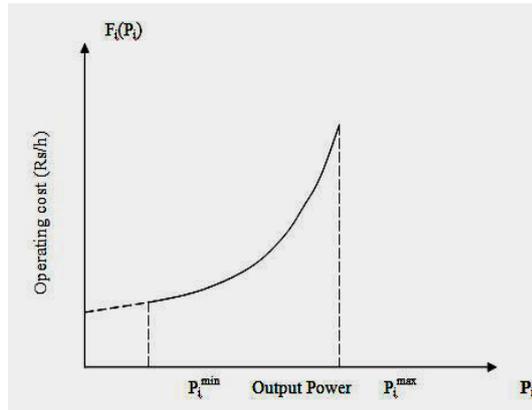


Figure 2.1(b) Operating costs curve of a fossil fired generator

IV. INCREMENTAL FUEL COST

In economic evaluation, there is a great tendency to resort to average rates to arrive at a total cost. Extreme caution should be exercised in doing this, especially in dealing with unit fuel cost of energy produced by a generating station. The additional fuel cost of energy produced depends entirely upon the manner in which the generation is added. The input and output curve of generating units of thermal plants is shown in fig 2.2, The abscissa is output power P_i in MW, x-ordinate as fuel (heat) input in joules per hours of the i_{th} unit. The ordinate of curve may be converted to fuel cost in F_i Rs/hr by multiplying the fuel input by the fuel is Rs/joule.

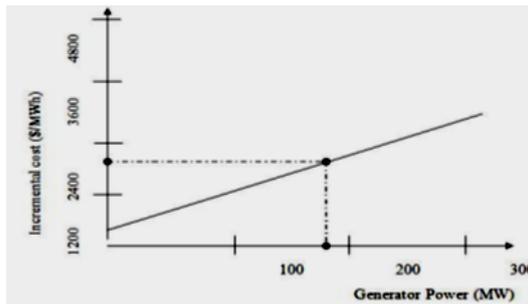


Figure 2.2 Incremental fuel-cost curve (thermal plants)

The slope of cost curve at a point M is given by

$$\tan \theta = \frac{\Delta F_i}{\Delta P_i} \quad (2.2)$$

Where, ΔF_i = increase in fuel cost corresponding to an increase of power output ΔP_i . The increment fuel cost for a generator for any given electrical power output is defined as the limiting value of the ratio of the increase in cost of fuel in Rs/h to the corresponding increase in electrical power output tends to zero.

V. MATHEMATICAL FORMULATION

The ELD problem is defined as to minimize the total operating cost of a power system while meeting the total load plus transmission losses within generator limits. Mathematically the problem is defined as (including losses)

Minimize:

$$F(P_i) = \sum_{i=1}^{NG} (a_i P_i^2 + b_i P_i + c_i)$$



Subject to (1) the energy balance equation

$$\sum_{i=1}^{NG} P_i - P_D + P_L \quad (2.3)$$

(2) Inequality constraints

$$P_{i(\min)} \leq P_i \leq P_{i(\max)} \quad (2.4)$$

Where,

ai, bi, ci : cost coefficients

PD: load demand

Pi: real power generation

PL: power transmission

NG: number of generation busses

VI. GENETIC ALGORITHM

INTRODUCTION: Genetic Algorithms (GA's) are based on analogy, and are adaptive heuristic search algorithm based on evolutionary ideas of natural selection and genetics. As such, they GA's represent an intelligent exploitation of the random search used, to solve search and optimization problems. Although randomized, GA's are by no means random, instead they exploit historical information to direct the search in to the region of better performance with in the search space. The basic techniques of the GA are designed to simulate processes in natural systems necessary for evolution, especially those follow the principles first laid down by Charles Darwin of, "Survival Of The Fittest". Since in nature, competition among individuals for scanty resources, results in the fittest individuals dominating over the weaker ones.

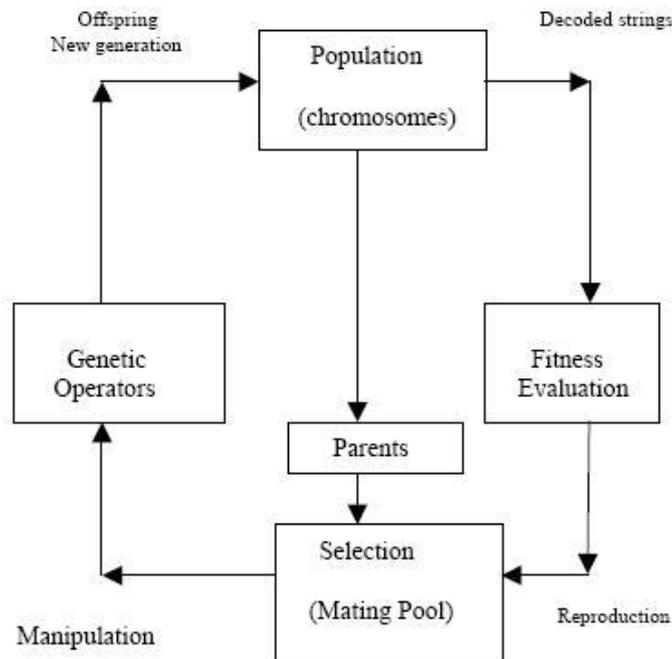
Genetic Algorithms are better than conventional algorithms in that they are more robust. They do not break easily, even if the inputs are changed slightly, or in the presence of reasonable noise. Also, in searching a large state-space, multi-modal state-space, or n-dimensional surface, a genetic algorithm may offer significant benefits over more typical search of optimization techniques such as linear programming, heuristic, depth-first, breath-first, and praxis.

GA's are based on an analogy, with the genetic structure and behavior of chromosomes with in a population of individuals using the following foundations:

- Those individuals most successful in each 'competition' will produce more offspring than those individuals that perform poorly.
- Genes from 'good' individuals propagate throughout the population, so that two good parents will sometimes produce offspring that are better than either parent.
- Thus, each successive generation will become more suited to their environment.



CYCLE OF GENETIC ALGORITHM



GENERAL GENETIC ALGORITHM:

The general GA is as follows:

STEP1: CREATE A RANDOM INITIAL STATE: An initial population is created from a random selection of solutions .this is unlike the situation for symbolic AI system, where the initial state in a problem is already given.

STEP2: EVALUATE FITNESS: A value for fitness is assigned to each solution depending on how close it actually is solving the problem. These solutions are not to be confused with answers of the problem; think of them as possible characteristics that the system would imply in order to reach the answer .

STEP3: REPRODUCE (AND CHILDREN MUTATE): Those chromosomes with a higher fitness value are more likely to reproduce offspring .The offspring is a product of the father and mother, whose composition consists of a combination of genes from the two This process is known as crossing over .

STEP 4: NEXT GENERATION : If the new generation contains solution that produces an output that is a close enough or equal to the desired answer then the problem has been solved . if this is not the case ,then the new generation will go through the same process as their parents did. This will continue until a solution is reached.

VII. PATTERN SEARCH

Pattern search (PS) is a family of numerical optimization methods that do not require the gradient of the problem to be optimized. Hence PS can be used on functions that are not continuous or differentiable. Such optimization methods are also known as direct-search, derivative-free, or black-box methods.

They varied one theoretical parameter at a time by steps of the same magnitude, and when no such increase or decrease in any one parameter further improved the fit to the experimental data, they halved the step size and repeated the process until the steps were deemed sufficiently small.

PERFORMING A PATTERN SEARCH:

CALLING PATTERN SEARCH AT THE COMMAND LINE:

To perform a pattern search on an unconstrained problem at the command line, call the function pattern search with the syntax [x fval] = pattern search (@objfun, x0)



Where,

- objfun is a handle to the objective function.
- x0 is the starting point for the pattern search.

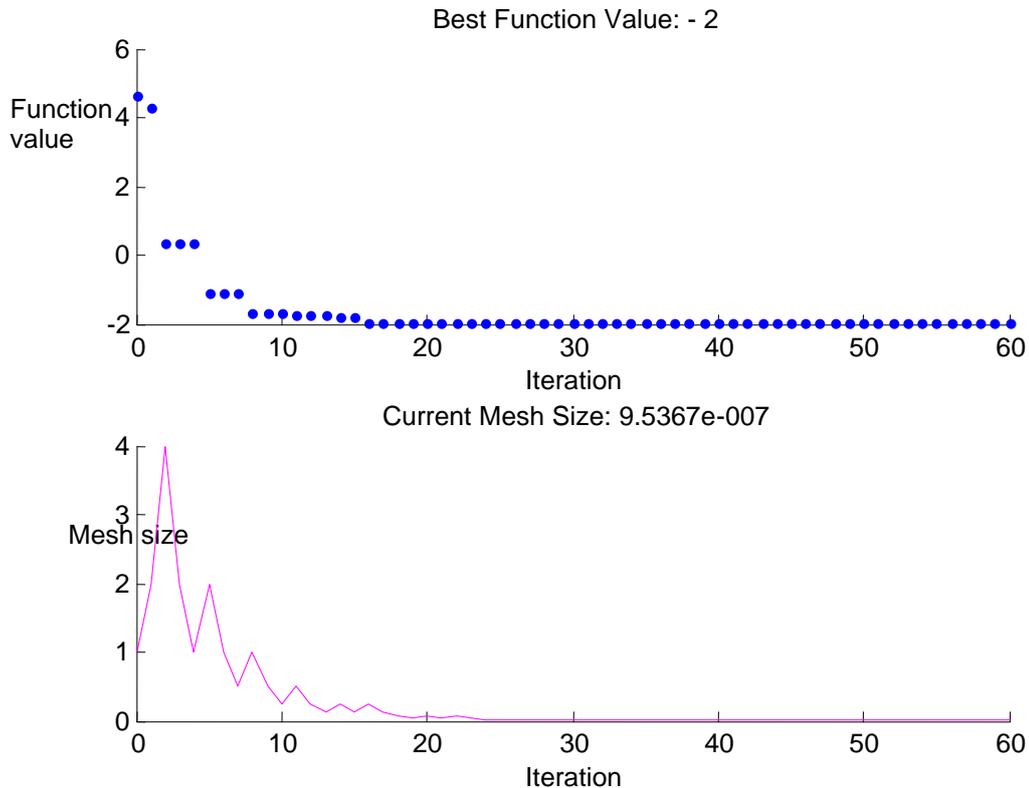
The results are:

- x - Point at which the final value is attained.
- fval - Final value of the objective function.

PLOTTING THE OBJECTIVE FUNCTION VALUES AND MESH SIZES:

To see the performance of the pattern search, display plots of the best function value and mesh size at each iteration. First, select the following check boxes in the **Plots** pane:

- **Best functionvalue**
- **Mesh size**



The upper plot shows the objective function value of the best point at each iteration. Typically, the objective function values improve rapidly at the early iterations and then level off as they approach the optimal value.

The lower plot shows the mesh size at each iteration. The mesh size increases after each successful iteration and decreases after each unsuccessful one.

VIII.RESULTS

Proper choice of genetic algorithm parameters is the first task in deciding the test performance of genetic algorithm. Parameters include population size, crossover, mutation probabilities and type of best fitness value selection. In each case numbers of trails are made to arrive at these parameters.

In case of 5generator case population are varied in multiple proportions of number variables of the optimization problem. Final population size is indicated in table (1) after increasing and decreasing population size from the value indicated. For all the cases considered fitness value selection is done by a property of elitism. Mutation and crossover probabilities are set to 0.05 and 0.65 respectively. In table (1) number of function counts made for each test case is indicated. It's clear from table (1) that as number of design variables increases the function count increases to search the optimum value to the specified tolerance of constraint. Time of computation also increases as the design variables increases. On contrary to the genetic algorithm, pattern search does not require any parameters. Pattern search performs, local search by reduction in size of the



search space in Pg number of univariate directions and hence possibility of number of function evaluations increases. This function count and time of computing the search is indicated in table (2). Any simulation, particularly a stochastic and random method should arrive at same results upon repetition of simulations. To test the reliability, for genetic algorithm and pattern search is run 10 times for same load condition (5 generator-650MW, 13 generators -1100MW, 40 generators-7500MW).

TABLE I
 PARAMETERS OF GENETIC ALGORITHM:

	5 GENERATOR	13 GENERATOR	40 GENERATOR
Population size	80	90	100
Mutation probability	0.05	0.05	0.05
Crossover probability	0.65	0.65	0.65
Time(S)	18.754674	39.460642	39.461676
Fcount	60701	115601	143901

TABLE II
 PARAMETERS OF PATTERN SEARCH METHOD:

	5 GENERATOR	13 GENERATOR	40 GENERATOR
Fcount	1000	146587	700000
Time(S)	348.490851	73.603152	367.961036

REPEATABILITY FOR 5 GENERATOR SYSTEMS (Figure 1)

REPEATABILITY FOR 13 GENERATOR SYSTEMS (Figure 2)

REPEATABILITY FOR 40 GENERATOR SYSTEMS (Figure 3)

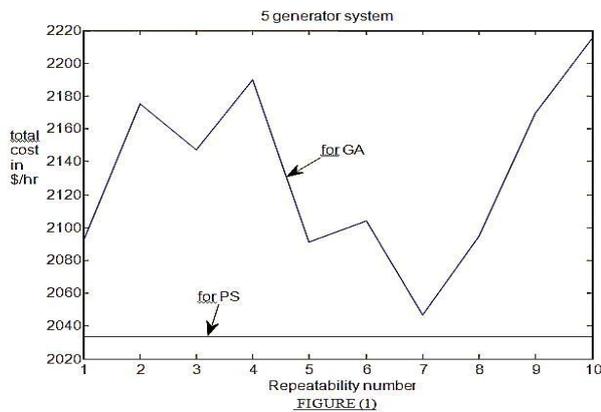


FIGURE (1)

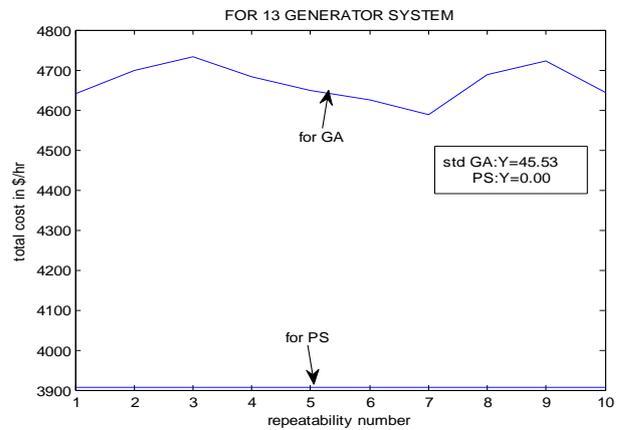


FIGURE (2)

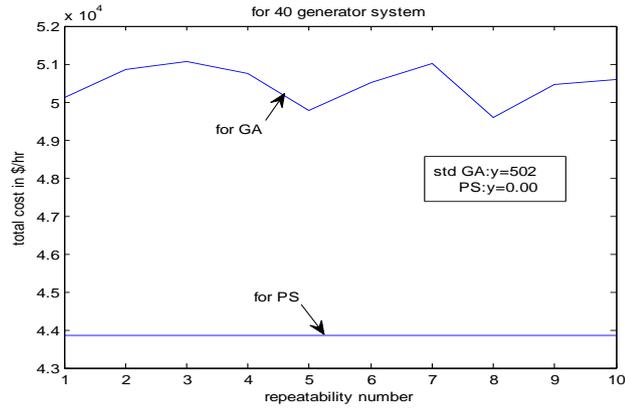


FIGURE (3)

The result of such simulation is shown in fig (1), fig (2) and fig (3) respectively. From curve drawn indicates on x axis major repetition number on y axis, the final total cost (\$/hr). The data statistics of curve such as standard deviation is a measure of variation of the optimization method. It is clear from the graphs of repeatability simulation that pattern search is highly reliable compare to genetic algorithm.

After simulations are carried out for the three test cases by varying real power demand using both methods i.e., Both optimization methods from graphs shown in fig(4),fig(5) indicates the effects of valve points is to increase the cost of power production way. The below are the results obtained for 5 generator systems by using both GA and PS method:

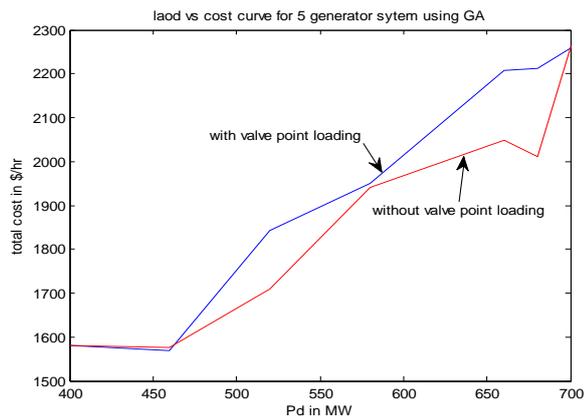


FIGURE (4)

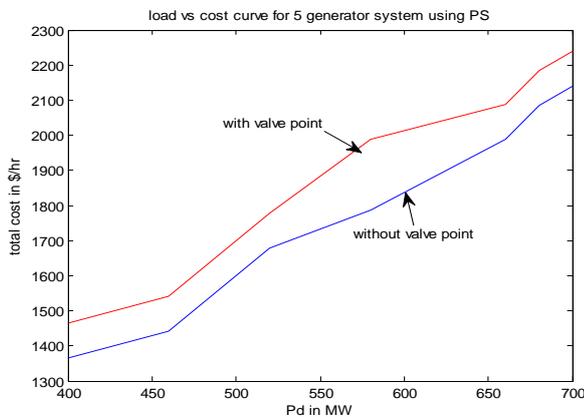


FIGURE (5)



**FOR 5 GENERATOR SYSTEMS (Figure 4) by using GA Method
FOR 5 GENERATOR SYSTEMS (Figure 5) by using PS Method**

A. COMPARATIVE TABLES FOR 5 GENERATOR SYSTEMS WITHOUT VALVE POINT LOADING EFFECTS:

	PD	PG1	PG2	PG3	PG4	PG5	COST
GA	400	12.3572	36.7797	45.1634	128.9379	176.7625	1581.34
PS		75.0000	27.9780	122.1390	124.9079	50.0000	1366.52
GA	460	11.5084	34.3075	53.7618	130.4456	229.9766	1569.53
PS		57.807	20.0000	112.6735	40.0000	229.5179	1440.75
GA	520	10.1702	34.8726	104.1152	155.2695	215.5724	1843.00
PS		71.2972	98.5391	112.6719	97.1344	139.7578	1678.23
GA	580	10.4935	51.9998	104.0176	181.9921	231.4970	1950.16
PS		75.0000	122.8074	112.6735	40.0000	229.5196	1787.45
GA	600	10.2431	55.3015	112.0944	191.6955	230.6655	1903.31
PS		75.0000	98.5398	112.6735	84.2676	229.5196	1885.74
GA	660	28.2411	70.2638	112.4995	196.5094	252.5132	2208.68
PS		75.0000	98.5398	112.6735	209.8158	163.9714	1987.4
GA	680	25.9442	85.2641	123.4847	198.3087	246.9981	2213.30
PS		75.0000	98.5398	112.6735	209.8158	183.9714	2083.87
GA	700	24.7096	81.5579	120.7526	209.6368	263.3432	2259.04
PS		41.0005	110.0000	111.0000	202.0000	236.0000	2140.62

TABLE (3)

B. COMPARITIVE TABLE FOR 5 GENERATOR SYSTEM WITH VALVE POINT LOADING EFFECTS:

TECH	PD	PG1	PG2	PG3	PG4	PG5	COST
GA	400	12.3572	36.7790	45.16340	128.9379	176.7625	1581.34
PS		75.0000	27.9780	122.1390	124.9079	50.0000	1366.52
GA	440	11.0191	34.7823	105.2717	126.6254	162.3015	1609.64
PS		75.000	20.000	75.4807	40.000	229.5196	1514.33
GA	460	11.5192	31.8686	30.0402	153.9979	232.5741	1675.56
PS		57.8072	20.0000	112.6735	40.0000	229.5196	1640.75
GA	520	10.1581	24.0606	103.5200	154.1761	228.0852	1709.03
PS		71.2972	98.5391	112.6719	97.7344	139.7578	1678.23
GA	580	11.1134	52.7694	104.6253	181.5002	229.9917	1941.05
PS		75.000	122.8074	112.6734	40.0000	229.5196	1787.45
GA	600	14.9983	58.7932	106.5581	178.5757	241.0747	2081.63
PS		75.000	98.5398	112.6735	84.2676	229.5196	1885.74
GA	660	22.6875	72.5002	112.5675	209.2396	243.0029	2047.66
PS		75.000	98.5398	112.6735	209.8158	163.9719	1987.40
GA	680	27.3252	69.4905	126.8578	202.0504	254.2760	2311.0322
PS		75.000	98.5398	112.6735	209.8156	183.9712	2083.67
GA	700	31.4814	88.0156	124.1884	203.7537	252.5603	2464.05
PS		41.00005	110.000	111.000	202.0000	236.000	2140.62

- Pd and Pg's are in MW
- COST is in \$/hr



IX. CONCLUSION

Economic load dispatch is solved by using GENETIC ALGORITHM and PATTERN SEARCH method without considering losses. Both GA and PS are applied for a practical test system of 5 generator, 13 generators and TAI power system with and without valve point loading effects. With valve point loading effects the cost function of generator consists of valley points which offer a challenge for optimization method to search for better solutions without being struck at break (valley) points. Both optimization methods of this project work have following conclusions to offer.

- With valve point effects, cost of generation increases.
- PATTERN SEARCH being invariable search indifferent meshes of function contour resulted in best cost but at the cost of more function evaluations than GENETIC ALGORITHM.
- Constraint satisfaction i.e. variable penalty function method is found to be effective both in GA and PS .In both these cases constraint satisfaction is considered as 1×10^{-5} .
- Upon observation of time of computation it is suggested to develop a regression model or Artificial Neural Network (ANN) for instants solutions. To obtain training data for regression or ANN training instances can be obtained from PS.

REFERENCES

- [1] C. C. Kuo, "A novel coding scheme for practical economic dispatch by modified particle swarm approach", IEEE Trans. Power Syst., vol. 23, no. 4, pp.1825 -1835, 2008
- [2] T. Adhinarayanan and M. Sydulu, "A directional search genetic algorithm to the economic dispatch problem with prohibited operating zones", Proc. IEEE/PES Transmission and Distribution Conf. Expo., pp.1 -5, 2008
- [3] C.-L. Chiang, "Genetic-based algorithm for power economic load dispatch", IET Gen., Transm., Distrib., vol. 1, no. 2, pp.261 -269, 2007
- [4] J. S. Al-Sumait, A. K. Al-Othman, and J. K. Sykulski, "Application of pattern search method to power system valve-point economic load dispatch," International Journal of Electrical Power and Energy Systems, vol. 29, pp. 720-730, 2007.
- [5] J. S. Al-Sumait, J. K. Sykulski, and A. K. Al-Othman, "Solution of different types of economic load dispatch problems using a pattern search method," Electric Power Components and Systems, vol. 36, pp. 250-265, 2008.
- [6] D. Liu and Y. Cai, "Taguchi method for solving the economic dispatch problem with nonsmooth cost functions", IEEE Trans. Power Syst., vol. 20, no. 4, pp.2006 -2014, 2005
- [7] Z.-L. Gaing "Particle swarm optimization to solving the economic dispatch considering the generator constraints", IEEE Trans. Power Syst., vol. 18, no. 3, pp.1187 -1195, 2003
- [8] K. Y. Lee and M. A. El-Sharkawi, "Modern Heuristic Optimization Techniques: Theory and Applications to Power Systems", Wiley-IEEE Press, 2008.